

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	243444US2
	First Inventor or Application Identifier	Hiroaki HAZAMA, et al.
	Title	NONVOLATILE SEMICONDUCTOR MEMORY DEVICE

U.S. PTO  
 22278  
 10/673177  
 09/30/03

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application Alexandria, Virginia 22313
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)  <small>(Submit an original and a duplicate for fee processing)</small></li> <li>2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Sheets <span style="border: 1px solid black; padding: 0 10px;">20</span></span></li> <li>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <span style="border: 1px solid black; padding: 0 10px;">13</span></span></li> <li>4. <input type="checkbox"/> Oath or Declaration <span style="float: right;">Total Pages <span style="border: 1px solid black; padding: 0 10px;"></span></span> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))  <small>(for continuation/divisional with box 17 completed)</small> <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)  <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small></li> </ol> </li> </ol> </li> <li>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</li> <li>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification or Sequence Listing on :               <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ol> </li> </ol>	<b>ACCOMPANYING APPLICATION PARTS</b> <ol style="list-style-type: none"> <li>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</li> <li>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <span style="float: right;"><input type="checkbox"/> Power of Attorney</span>  <small>(when there is an assignee)</small></li> <li>10. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</li> <li>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <span style="float: right;"><input type="checkbox"/> Copies of IDS Citations</span></li> <li>12. <input type="checkbox"/> Preliminary Amendment</li> <li>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</li> <li>14. <input type="checkbox"/> Certified Copy of Priority Document(s)  <small>(if foreign priority is claimed)</small></li> <li>15. <input type="checkbox"/> Applicant claims small entity status.  <small>See 37 CFR 1.27</small></li> <li>16. <input type="checkbox"/> Other:</li> </ol>

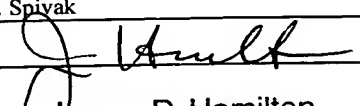
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application no.:
Prior application information: Examiner:			Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

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Docket No. 243444US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Hiroaki HAZAMA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	9 - 20 =	0	x \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	x \$84 =	\$84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$750.00
TOTAL OF ABOVE CALCULATIONS				\$964.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
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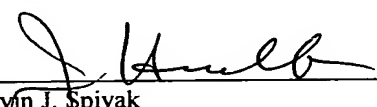
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: \_\_\_\_\_

*9-30-03*

  
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